

Privacy Notice

FACTS	What Does RSG Investments, Inc. Do With Your Personal Information?
Why?	Financial companies choose how they share your personal information. Federal and state laws give consumers the right to limit some but not all sharing. Federal and state laws also require us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share will depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> • Social Security number and investment experience • Income and risk tolerance • Assets and account transactions When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons RSG Investments chooses to share; and whether you can limit this sharing.

REASONS WE CAN SHARE YOUR PERSONAL INFORMATION	Does RSG Investments share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	No	We do not share
For nonaffiliates to market to you	No	We do not share

WHAT WE DO	
How does RSG Investments protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with state law. These measures include computer safeguards and secured files and buildings.</p> <p>Access to personal information is granted to our home-office associates and investment advisory representatives only to provide investments and services to customers or to serve another legitimate business need.</p>
How does RSG Investments collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> • Open an account or give us your contact information • Seek advice about your investments or tell us about your investment or retirement portfolio • Enter into an investment advisory contract • Direct us to buy or sell your securities <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>

Why can't I limit all sharing?	<p>Federal and/or state law gives you the right to limit only:</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes – information about your creditworthiness • Affiliates from using your information to market to you • Sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	<p>Your choices will apply to everyone on your account.</p>

DEFINITIONS

Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • <i>Our affiliates include financial & non-financial companies such as Retirement Solutions Group, Inc., RSG Holdings, Inc., RSG Creative, Inc., and RSG Leasing, Inc.</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • <i>RSG Investments does not share with nonaffiliates so that they can market to you.</i>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • <i>RSG Investments doesn't jointly market.</i>

OTHER IMPORTANT INFORMATION

OTHER IMPORTANT INFORMATION	<p>Non-affiliates that we share with can include companies that aggregate data for reporting purposes. Our privacy practices concerning our website, data collected from our website, etc., can be viewed at www.rsgusa.net/privacy-policy</p>
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QUESTIONS?	<p>Call (913) 685-9422</p>
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